

11.07-03

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q68114

Makoto MATSUMARU

Appln. No.: 10/046,160

Group Art Unit: 2681

Confirmation No.: 3696

Examiner: NOT YET ASSIGNE

21-4. January 16, 2002

RECEIVED

Filed: January 16, 2002

NOV 0 5 2003

For: SUSPEND PACKET TRANSMITTER

Technology Center 2600

STATEMENT UNDER 37 C.F.R. § 1.97(e)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

SUGHRUE MION, PLLC Telephone: (202) 293-7060

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WASHINGTON OFFICE 23373
CUSTOMER NUMBER

Date: November 4, 2003

Darryl Mexic

Registration No. 23,063



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INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

For:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith. Also, enclosed is a European Office Action on a corresponding European Patent Application.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/046,160

ATTORNEY DOCKET NO. Q68114

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

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Date: November 4, 2003

Substitute for Form 1449 A & B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet	1	of	1

Complete if Known				
Application Number	10/046,160			
Confirmation Number	3696			
Filing Date	January 16, 2002			
First Named Inventor	Makoto MATSUMARU			
Art Unit	2681			
Examiner Name	NOT YET ASSIGNED			
Attorney Docket Number	Q68114			

	U.S. PATENT DOCUMENTS						
	Cite	Document		Publication Date MM-DD-YYYY			
	No.1	Number	Kind Code ² (if known)		Name of Patentee or Applicant of Cited Document		
		US					
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FOREIGN PATENT DOCUMENTS							
	Cite	Cite Foreign Patent Document			Publication Date	Name of Patentee or	
	No.1	Country Code ³	Number ⁴	Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation ⁶
		<u> </u>					
							

	NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶			
		"High Performance Serial Bus, Suspend/Resume Proposal 97-031, Draft Revision 0.09", IEEE P1394PMTASK FORCE, October 16, 1997, pages 2-22, XP002252002				
		"IEEE STANDARD FOR A HIGH PERFORMANCE SERIAL BUS – Amendment 1", IEEE STD 1394A-2000, vol. 5.0, March 30, 2000, pages 21, 22, 54, 166, XP002252003				
		"1394 TRADE ASSOCIATES Power Specification Part 2: Suspend/Resume Implementation Guidelines", vol. 1.0, October 5, 1999, XP002156050				

	 				
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.